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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

ATTY DOCKET NO.: 5308-168

DATE: June 11, 2001

UTILITY PATENT APPLICATION TRANSMITTAL LETTER
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application
☐ a Continuation ☐ a Divisional ☒ a Continuation-in-Part (CIP) ☐ a Non-Provisional
 of prior application no.: 09/141,795 which was filed August 25, 1998.
☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier:

Mrinal Kanti Das, Durham, North Carolina; **Lori A. Lipkin**, Raleigh, North Carolina; **Scott Sheppard**,
 Chapel Hill, North Carolina; **John W. Palmour**, Raleigh, North Carolina; **Helmut Hagleitner**, Zebulon,
 North Carolina

Entitled: **HIGH VOLTAGE, HIGH TEMPERATURE CAPACITOR STRUCTURES AND
 METHODS OF FABRICATING SAME**

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 38 pages of Specification (including 56 claims)
3. ☒ 11 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
 - a. ☐ newly executed (*original or copy*)
 - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 5 Below]
 - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☐ Small Entity Statement(s)
9. ☐ Information Disclosure Statement, PTO-1449, and ___ references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of
13. ☐ Sequence Listing/ Sequence Listing Diskette
 - a. ☐ computer readable copy
 - b. ☐ paper copy
 - c. ☐ statement in support

14. ☐ An Associate Power of Attorney
 15. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
 16. ☒ Other: Application Filed Under 37 C.F.R. 1.41 (c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$355.00	\$710.00
TOTAL CLAIMS	56- 20 =	36	x 09 = \$	x 18 = \$648.00
INDEP CLAIMS	7- 3 =	4	x 40 = \$	x 80 = \$320.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$1678.00

- ☒ A check in the amount of \$1678.00 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$.00 is enclosed to cover the filing fee PLUS (40.00) for Assignment Recordation.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0220**.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Timothy J. O'Sullivan
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CERTIFICATE OF EXPRESS MAILING

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 Date of Deposit: June 11, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.


 Traci A. Brown

Date of Signature: June 11, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Das, et al.

Filed: Concurrently Herewith

For: HIGH VOLTAGE, HIGH TEMPERATURE CAPACITOR STRUCTURES AND
METHODS OF FABRICATING SAME

Date: June 11, 2001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above identified application is being filed on behalf of the inventor(s), Mrinal Kanti Das, resident of Durham, North Carolina; Lori A. Lipkin, resident of Raleigh, North Carolina; Scott Sheppard, resident of Chapel Hill, North Carolina; John W. Palmour, resident of Raleigh, North Carolina; and Helmut Hagleitner, resident of Zebulon, North Carolina under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,



Timothy J. O'Sullivan
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